

09-24-02

GP1642  
Box Sec 9

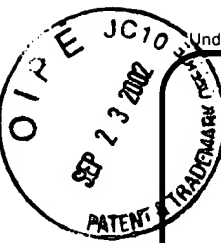
Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0661-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/806,125

Filing Date 03/28/2001

First Named Inventor Matsutani, E.

Group Art Unit 1642

Examiner Name S. Rawlings

Total Number of Pages in This Submission 31

Attorney Docket Number 2556 USOP

RECEIVED

SEP 26 2002

TECH CENTER 1600/2900

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☒ Amendment / Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☒ Response to Missing Parts/Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
  - Return Postcard.
  - Certificate of Express Mailing
  - Written Sequence Listing + IBM PC/AT Format Disk
  - Copy of NMP

Remarks

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account 500799.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Mark Chao, Ph.D., JD, Reg. No. 37,293

Signature

Date

9/23/02

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 9/23/02

Typed or printed name

Gail L. Winokur

Signature

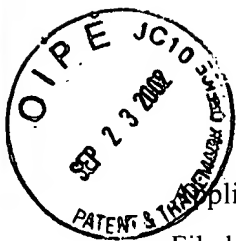
Gail L. Winokur

Date

9/23/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Express Mail EV 0826 56087 US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/806,125  
Filed: 03/28/2001  
1<sup>st</sup> Inventor: Matsutani, E.  
For: Agents for Retarding Change of Hormone-dependent  
Cancer into Hormone-independent Cancer  
Atty. Dkt. No. 2556 USOP

Art Unit: 1642  
Examiner: S. Rawlings  
Allowed:  
Batch:  
Paper No.: **RECEIVED**

SEP 26 2002

**CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10**

**USPS EXPRESS MAIL LABEL No. EV 082656087 US**

**TECH CENTER 1600/2900**

**DATE IN: September 23, 2002**

**Itemized Papers/Items:**

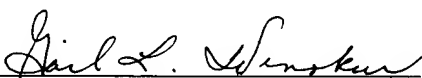
1. This Postcard and Certificate of Express Mailing (2 pages)
2. Transmittal Form (1 page X 2)
3. Preliminary Amendment (18 pages)
4. Submission of CRF of Sequence Listing (7 pages), IBM PC/AT format disk containing the CRF of the Sequence Listing, and copy of Notification of Missing Requirements (2 pages).

The undersigned hereby certifies that the above itemized papers are together being deposited with the Express Mail Post Office to Addressee service of the United States Postal Service (USPS) in an envelope with sufficient postage, having the USPS Express Mail Label No. shown above, and addressed to:

Commissioner for Patents  
Washington, D.C. 20231.

on this date, 9/23/02

Dated: 9/23/02

  
Gail L. Winokur

Takeda Pharmaceuticals North America, Inc.  
Intellectual Property Department  
Suite 500, 475 Half Day Road  
Lincolnshire, IL 60069 USA